

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Central District of California on the following ☒ Patents or ☒ Trademarks:

DOCKET NO. CV0805371AHMJTLx	DATE FILED 8/15/2008	U.S. DISTRICT COURT Central District of California
PLAINTIFF PRINCIPAL FINANCIAL SERVICES, INC., etc., et al.		DEFENDANT AMERICAN PRINCIPLE BANK, A California Corporation.
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 36 + 25		See Addendum No. 1
2		
3		
4		
5		

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY		
	<input checked="" type="checkbox"/> Amendment	<input checked="" type="checkbox"/> Answer	<input checked="" type="checkbox"/> Cross Bill <input checked="" type="checkbox"/> Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK	
1			
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In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT None to date.

CLERK	(BY) DEPUTY CLERK	DATE
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Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

**Principal Financial Services, Inc.
and Principal Bank**

Case No.: CV08-05371 AHM JTLx

**v.
American Principle Bank**

ADDENDUM NO. 1

**TO REPORT ON THE FILING OR DETERMINATION
OF AN ACTION REGARDING A PATENT OR TRADEMARK**

Patent or Trademark No.	Date of Patent or Trademark	Holder of Patent or Trademark
3,324,583	10/30/07	Principal Financial Services, Inc.
2,843,297	05/18/04	Principal Financial Services, Inc.
3,095,382	05/23/06	Principal Financial Services, Inc.
1,740,181	12/12/92	Principal Financial Services, Inc.
1,740,172	12/15/92	Principal Financial Services, Inc.
1,698,013	06/30/92	Principal Financial Services, Inc.
2,610,098	08/20/02	Principal Financial Services, Inc.
1,562,541	10/24/89	Principal Financial Services, Inc.
1,508,544	10/11/88	Principal Financial Services, Inc.
1,427,769	02/03/87	Principal Financial Services, Inc.
1,504,246	09/13/88	Principal Financial Services, Inc.
1,508,542	10/11/88	Principal Financial Services, Inc.
1,530,023	03/14/89	Principal Financial Services, Inc.
1,469,375	12/15/87	Principal Financial Services, Inc.
1,508,543	10/11/88	Principal Financial Services, Inc.
1,530,022	03/14/89	Principal Financial Services, Inc.
1,531,199	03/21/89	Principal Financial Services, Inc.
1,502,424	08/30/88	Principal Financial Services, Inc.
1,561,335	10/17/89	Principal Financial Services, Inc.
2,322,817	02/29/00	Principal Financial Services, Inc.
2,360,553	06/20/00	Principal Financial Services, Inc.
2,564,427	04/23/02	Principal Financial Services, Inc.
2,628,202	10/01/02	Principal Financial Services, Inc.
2,656,972	12/03/02	Principal Financial Services, Inc.
2,677,074	01/21/03	Principal Financial Services, Inc.
3,015,302	11/15/05	Principal Financial Services, Inc.
2,928,193	02/22/05	Principal Financial Services, Inc.
3,053,544	01/31/06	Principal Financial Services, Inc.
3,107,136	06/20/06	Principal Financial Services, Inc.
3,174,382	11/21/06	Principal Financial Services, Inc.
3,400,594	03/25/08	Principal Financial Services, Inc.
3,297,647	09/25/07	Principal Financial Services, Inc.

Patent or Trademark No.	Date of Patent or Trademark	Holder of Patent or Trademark
3,461,024	07/08/08	Principal Financial Services, Inc.
3,461,025	07/08/08	Principal Financial Services, Inc.
3,368,181	01/15/08	Principal Financial Services, Inc.
3,368,180	01/15/08	Principal Financial Services, Inc.